2007 OSDH Final Risk Assessment (Draft)

<u>ore</u>	Measurement of Risk Defined as a Value	1.00				
	Auditable Units/Processes	<u>Total</u>	Notes			
	Internal Audit's 2008 Focus Reviews (Excluding CHD Reviews)					
	Reviewed as part of the County Health Dept. Audit Procedures					
	Addressed as Part of Invoice Validation Procedures					
	Lower Risk; To be Reviewed Time Permitting.					
	Removed from 2007 Consideration					

2017 Risk Analysis by Community Family Health Service

	(Raw Data)										
	15%	10%	15%	10%	15%	10%	5%	5%	5%	10%	1.00
	15%	10%	15%	10%	15%	10%	5%	5%	5%	10%	1.00
Auditable Units/Processes	Federal Requirements	State Statutes	Dollar or Transaction Volume*	Perceived Adequacy & Effectiveness of the system of Monitoring, Oversight & Supervisory Controls	Previous Audit Findings and/or Questioned Costs	History of fraud or abuse in this process or practice	Time Expired Since Last Audit or Review	Complexity or volatility of activities	Competency of Staff Responsible	Staff physically handle cash or other assets	<u>Total</u>
	1 = nonfederal 3 = some federal 5 = federal	1 = no 5 = yes		1 = good I/C's 3 = moderate 5 = poor I/C's	1 = no findings 5 = prev. findings	1 = no history 5 = history	1 = 1 - 3 years 2 = 4 - 6 years 3 = 7 - 9 years 4 = 10-12 years 5 = over 12 yrs	1 = not complex 3 = avg. complex 5 = very complex	1 = very experienced 3 = avg. experience 5 = not experienced	1 = doen't handle cash 5 = handles cash	
Community and Family Health Services											
Community and Family Health Services											-
Early Intervention											-
Site Specific Activities	5	1	1	1	1	1		3	1	1	1.65
Early Intervention	3	5	5	1	1	1		5	1	1	2.45
Family Support and Prevention Service											
Children First Program	3	5	5	1	1	1		3	1	1	2.35
OCAP	1	5	4	1	1	1		3	1	1	1.90
CATCC	3	5	2	1	1	1		1	1	1	1.80
CBCAP	5	1	2	1	1	1		3	5	1	2.00
Alternatives to Abortion	1	5	1	3	1	1		1	3	1	1.65
Strengthening Families	1	1	1	3	1	1		3	3	1	1.35
Child Guidance Service											
Warm Line	1	1	1	1	1	1		3	3	1	1.15
Guidance services	1	5	3	1	1	1		3	1	1	1.75
		_						-			
Maternal & Child Health Service											
Family Planning (includes X and Waiver)	3	1	5	1	1	1		5	1	1	2.05
MCH Block Grant (includes MIH and CAH activities)	3	1	5	1	1	1		5	1	1	2.05
Pregnancy Risk Assessment Monitoring Sys.	5	1	1	1	1	1		3	1	1	1.65
Early Childhood Comprehensive Systems	5	1	1	1	1	1		1	1	i i	1.55
State Systems Development Initiative	5	1	1	i	i i	1		i	1	i i	1.55
YRBS	5	1	1	i	i i	1		i	1	1	1.55
·	1 -	· ·	·	· ·	· ·			· ·	· ·	i .	
Dental Service		1									
Dental Disease Prevention - Oral Health	1	1	1	1	1	1		1	3	1	1.05
Dental Care Services	1	1	1	1	1	1		1	3	1	1.05
WIC Service											
WIC services	5	1	5	1	1	1.5		3	1	1	2.30
Community Health Mini Grants	5	1	1	2	1	1		3	3	1	1.85
Independent Contracts	5	1	4	1	1	2		3	1	1	2.20
Vendor Services, Food Funds - Grocers	5	1	5	1	1	3		3	1	1	2.45
,,	1	1			1				1		
County Health Departments											

4034dc84ce2d44f6939d5d0c6489bd89.xlsx

2017 Risk Analysis by Community Family Health Service (Raw Data)

	1		I			1	1	I	ı		$\overline{}$
	15%	10%	15%	10%	15%	10%	5%	5%	5%	10%	1.00
Auditable Units/Processes	Federal Requirements	State Statutes	Dollar or Transaction Volume*	Oversight & Supervisory	Findings and/or Questioned Costs	fraud or abuse in this process		Complexity or volatility of activities		Staff physically handle cash or other assets	<u>Total</u>
M&O Contracts (county)	5	1	3	1	1	1 1		1	1 1	1	1.85
Medical Director Contracts	1	5	1	1	1	2		1	1	1	1.45
Cash Receipts and Receivables	3	5	1	3	5	5		3	1	5	3.35
Pharmaceutical Inventory	5	5	5	1	1	1		3	1	5	3.05
Travel Reimbursement - Home Base vs. Duty Station	0	5	3	1	1	1		1	1	1	1.50
Compliance with Purchasing Act	5	5	4	1	5	5		3	1	5	3.90
Fee Collection in accord with statute	1	5	2	1	5	5		3	1	5	3.00
LEP Processes	1	1	5	1	5	5		1	2	5	3.00
Retirees employeed on Temporary Basis	1	5	1	1	1	1		1	1	1	1.35
County Inventory	3	5	5	3	5	5		3	2	5	4.00
Grant Reporting (TSET, Meal assistance, etc)											
			Column Definition :				Column Definition :	Column Definition :			
* - value given should be based on the annual dollar volume or number of tra				Rate the overall level of central office				Rate the overall level of federal and state	Rate the overall level of ability and		
				procedures and				requirements vs the	experience of both		$\overline{}$
				processes and the				ramifications of error by	central office		$\overline{}$
				perceived				central office staff	leadership and		$\overline{}$
				competency/cooperati				and/or the contractor	monitoring staff and the		
				on of the contractor					contractor		

4034dc84ce2d44f6939d5d0c6489bd89.xlsx